



**Wealthfront Brokerage LLC
Authorization to Journal Securities or Funds**

Date: _____

Reason for Journal Transfer (Required)

- Divorce
- Deceased
- Change of account title and/or type of account
- Other: _____

Transfer From

Account Name: _____

Account Number: _____

Transfer To

Account Name: _____

Account Number: _____

Is this a full account transfer of all cash and securities?

- Yes
- No

If 'No' then please provide the supporting documentation requested outlining the division of assets.

Please note that:

- Wealthfront will transfer securities in connection with this request;
- This transfer may result in tax obligations or consequences;
- Wealthfront is not responsible for any such tax obligations or consequences, and they will be your sole responsibility;
- If securities are transferred to an account with a different risk score or investment strategy, you may incur capital gains taxes as a result of subsequent account rebalancing;
- Wealthfront does not provide tax advice; and
- You should consult your personal tax adviser regarding the consequences of submitting this form.

By signing below, you authorize and direct Wealthfront to process the journal request based on the information provided in this form. You are specifically confirming that:

- All information you have provided in this form is correct and complete to the best of your knowledge;
- You have full authority to provide these instructions to Wealthfront;
- Wealthfront may rely on this information without further inquiry;
- Wealthfront is not responsible for any delay, failure to execute, or misexecution of your request due to circumstances beyond Wealthfront's reasonable control;
- You consent to any change in ownership of assets resulting from the instruction in this form;
- You have had sufficient opportunity to consider potential tax obligations or consequences, including with your personal tax adviser;
- Any taxes resulting from your decision to transfer securities to another Wealthfront account, including all taxes resulting from a potential subsequent liquidation of such transferred securities, shall be your sole responsibility; and
- You agree to indemnify us (jointly and severally, for accounts with more than one owner) from and hold us harmless for any and all losses, liabilities (including tax liabilities), claims, and costs resulting from our effecting any transaction or acting upon your instructions herein.

Customer Signature: _____

Customer Signature (Joint account holder if applicable): _____